

JUSTIFICATION OF ESTIMATES

The Administration's Proposed Appropriation Language

LIMITATION ON ADMINISTRATION

For necessary expenses for the Railroad Retirement Board for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, \$99,820,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund.

Note. - A regular fiscal year 2003 appropriation for this account had not been enacted at the time this budget justification was prepared; therefore, this account is operating under the continuing resolution P.L. 107-229, as amended. The amounts included for 2003 in this budget justification reflect the Administration's fiscal year 2003 policy proposals.

Explanation of Proposed Appropriation Language

<u>Appropriation language</u>	<u>Explanation</u>
<i>For necessary expenses for the Railroad Retirement Board for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, \$99,820,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund.</i>	This budget request is for funds to administer the railroad retirement/survivor and unemployment/sickness insurance benefit programs under a single administrative account. The Limitation on Administration Account draws on the following trust fund accounts for funding: Railroad Retirement Account, Social Security Equivalent Benefit Account, and the Railroad Unemployment Insurance Administration Fund.

Authorizing Legislation

<u>Legislation</u>	<u>2003</u>		<u>2004</u>	
	<u>Authorized</u>	<u>Current estimate</u>	<u>Authorized</u>	<u>Appropriation request</u>
Authorizing legislation -- Railroad Retirement Act: Section 15(a), first two sentences of section, and Section 15A(c)(1)	Indefinite	\$97,720,000 <u>a/</u>	Indefinite	\$99,820,000
Authorizing legislation -- Railroad Unemployment Insurance Act: Section 11(a)				

a/ A regular fiscal year 2003 appropriation for this account had not been enacted at the time this budget justification was prepared. This account is operating under the continuing resolution P.L. 107-229, as amended. This amount reflects the Administration's proposed level of funding for fiscal year 2003.

Section 15(a) of the Railroad Retirement Act:

"The Railroad Retirement Account established by section 15(a) of the Railroad Retirement Act of 1937 shall continue to be maintained in the Treasury of the United States. There is hereby appropriated to such Account for each fiscal year, beginning with the fiscal year ending June 30, 1975, to provide for the payment of benefits to be made from such Account in accordance with the provisions of section 7(c)(1) of this Act, and to provide for expenses necessary for the Board in the administration of all provisions of this Act, an amount equal to amounts covered into the Treasury (minus refunds) during each fiscal year under the Railroad Retirement Tax Act."

Section 15 A(c)(1) of the Railroad Retirement Act:

"Except as otherwise provided in this section, amounts in the Social Security Equivalent Benefit Account shall be available only for purposes of paying social security equivalent benefits under this Act and to provide for the administrative expenses of the Board allocable to social security equivalent benefits."

Section 11(a) of the Railroad Unemployment Insurance Act:

"The Secretary of the Treasury shall maintain in the unemployment trust fund established pursuant to section 904 of the Social Security Act an account to be known as the railroad unemployment insurance administration fund. This unemployment insurance administration fund shall consist of (i) such part of all contributions collected pursuant to section 8 of this Act as equals 0.65 per centum of the total compensation on which such contributions are based; (ii) all amounts advanced to the fund by the Secretary of the Treasury pursuant to this section; (iii) all amounts appropriated by subsection (b) of this section; and (iv) such additional amounts as Congress may appropriate for expenses necessary or incidental to administering this Act. Such additional amounts are hereby authorized to be appropriated."

ADMINISTRATIVE EXPENSES a/
Budget Authority By Object Classification

<u>Limitation on Administration</u>	Fiscal year 2002	Fiscal year 2003	Fiscal year 2004	Increase or
<u>Direct obligations by object class</u>	<u>actual amounts(\$) b/c/</u>	<u>estimated amounts(\$) c/d/</u>	<u>estimated amounts(\$) e/</u>	<u>decrease(\$)</u>
Personnel compensation:				
Full-time permanent	61,006,500	62,350,000	61,411,000	(939,000)
Positions other than permanent	1,221,000	1,234,000	1,312,000	78,000
Other personnel compensation	<u>1,466,000</u>	<u>858,000</u>	<u>1,013,000</u>	<u>155,000</u>
Total personnel compensation	63,693,500	64,442,000	63,736,000 e/	(706,000)
Personnel benefits: civilian	13,814,000	13,439,000	13,555,000	116,000
Benefits for former personnel	118,000	300,000	235,000	(65,000)
Travel and transportation of persons	778,000	621,000	733,000	112,000
Transportation of things	116,000	123,000	127,000	4,000
Rental payments to GSA f/	3,620,000	3,950,000	3,700,000	(250,000)
Communications, utilities, and miscellaneous charges	4,345,000	4,467,000	4,444,000	(23,000)
Printing and reproduction	241,000	255,000	263,000	8,000
Consulting services	446,000	538,000	521,000	(17,000)
Other services	9,024,000	8,347,000	10,729,000 g/	2,382,000
Supplies and materials	795,000	759,000	795,000	36,000
Equipment	<u>614,000</u>	<u>479,000</u>	<u>982,000</u>	<u>503,000</u>
Total, direct obligations	97,604,500	97,720,000	99,820,000 h/	2,100,000
Unobligated balance	<u>23,000</u>	<u>--</u>	<u>--</u>	<u>--</u>
Total budget authority	<u>97,627,500</u>	<u>97,720,000</u>	<u>99,820,000</u>	<u>2,100,000</u>

- a/ In addition to the amounts shown, the Office of Inspector General is requesting, in a separate justification document, \$6,600,000 for administrative expenses in fiscal year 2004.
- b/ Amounts reflect the actual use of the \$97.7 million appropriation to the Limitation on Administration received under Public Law 107-116 in fiscal year 2002, less a rescission of \$72,500 in accordance with P.L. 107-206.
- c/ The Limitation on Administration excludes \$5.5 million in fiscal year 2002 that the RRB expects to be reimbursed from the Centers for Medicare and Medicaid Services (for conducting Medicare activities) and others. Amounts for fiscal years 2003 and 2004 exclude \$5.3 million that the RRB expects to be reimbursed from the Centers for Medicare and Medicaid Services and others in each of these years.
- d/ A regular fiscal year 2003 appropriation had not been enacted at the time this budget justification was prepared. This account is currently operating under the continuing resolution P.L. 107-229, as amended. Fiscal year 2003 funding reflects the Administration's proposed amount.
- e/ This amount includes a locality-based comparability and cost-of-living increase of 2.0% effective January 2004, in accordance with OMB guidance.
- f/ Reflects the payment of actual costs to the General Services Administration (GSA) for the rental of space. The fiscal year 2003 and 2004 amounts assume that the RRB, as a trust fund agency, will continue to pay actual costs to GSA for the rental of space instead of the commercial equivalent rate.
- g/ This amount includes an estimated \$2,000 for education and training of the acquisition workforce (OMB Circular A-11, Section 112.3). This also includes funding for a contract with a non-governmental disbursement agent for railroad retirement benefits.
- h/ The Railroad Retirement Board expects to spend \$18,000 on energy conservation measures in fiscal year 2004 (OMB Circular A-11, Section 112.3).

Note: Amounts are rounded to the nearest thousand.

ADMINISTRATIVE EXPENSES

Budget Authority by Strategic Goal

<u>Limitation on Administration</u>	Fiscal year 2002 <u>actual</u>				Fiscal year 2003 <u>estimate</u>				Fiscal year 2004 <u>estimate</u>			
<u>Program by strategic goal</u>	<u>FTE's</u>	<u>%</u>	<u>Amounts(\$)</u>	<u>%</u>	<u>FTE's</u>	<u>%</u>	<u>Amounts(\$)</u>	<u>%</u>	<u>FTE's</u>	<u>%</u>	<u>Amounts(\$)</u>	<u>%</u>
Strategic goal:												
1. Customer service	662	60	53,673,900	55	632	59	53,274,000	55	602	59	55,257,000	56
2. Stewardship	207	19	18,931,500	19	207	19	18,398,000	19	197	19	18,358,000	18
3. Technology and automation	<u>230</u>	21	<u>24,999,100</u>	26	<u>230</u>	22	<u>26,048,000</u>	26	<u>220</u>	22	<u>26,205,000</u>	26
Total, direct program obligations			97,604,500									
Unobligated balance			<u>23,000</u>				<u>—</u>				<u>—</u>	
Total budget authority	<u>1,099</u>		<u>97,627,500</u>		<u>1,069</u>		<u>97,720,000</u>		<u>1,019</u>		<u>99,820,000</u>	

The Railroad Retirement Board's Strategic Plan includes these strategic goals:

1. Provide excellent customer service.
2. Safeguard our customers' trust funds through prudent stewardship.
3. Use technology and automation to foster fundamental changes that improve the way we do business.

STAFFING HISTORY

Actual Full-time Equivalent Employment

<u>Fiscal year</u>	<u>Total FTE's a/</u>	<u>Change from previous year</u>	<u>Percent change from previous year</u>	<u>Cumulative FTE reductions</u>	<u>Cumulative percent change since fiscal year 1993</u>
1993	1,698 <u>b/</u>	---	---	---	---
1994	1,615 <u>b/</u>	-83	-4.9%	83	-4.9%
1995	1,483 <u>b/</u>	-132	-8.2%	215	-12.7%
1996	1,401 <u>b/</u>	-82	-5.5%	297	-17.5%
1997	1,297	-104	-7.4%	401	-23.6%
1998	1,227	-70	-5.4%	471	-27.7%
1999	1,196	-31	-2.5%	502	-29.6%
2000	1,136	-60	-5.0%	562	-33.1%
2001	1,101	-35	-3.1%	597	-35.2%
2002	1,099	-2	-0.2%	599	-35.3%
2003	1,069 <u>c/</u>	-30	-2.7%	629	-37.0%
2004	1,019 <u>c/</u>	-50	-4.7%	679	-40.0%

a/ Excludes staffing for the Office of Inspector General.

b/ Includes Special Management Improvement Fund FTE's for fiscal years 1993-1996 of 80, 58, 30, and 10, respectively.

c/ Reflects estimated FTE's to be used. In fiscal year 2004, the RRB estimates that the proposed administrative budget will allow us to fund 1,019 FTE's.

Funding for Major Contracts

All contracts \$10,000 and over that are planned for fiscal year 2004 are shown below.

<u>Title and description</u>	<u>Estimated FY 2004 funding (\$)</u>
<u>Information technology investments</u>	
• <u>IT Task orders</u> . Contractor resources would be used on a task order basis to obtain competitive assistance services in application development for a variety of systems that need updating or enhancements.	250,000
• <u>Information security</u> . Contractor assistance will be used to conduct a vulnerability assessment whose results would be used to minimize identified vulnerabilities and update our computer security plans to comply with the Government Information Security Reform Act.	150,000
• <u>Enterprise architecture</u> . Contractor assistance is required to further develop the agency's enterprise architecture implementation plan.	50,000 <u>a/</u>
<u>Support of benefit program activities</u>	
• <u>Disbursement agent</u> . Contract funding will be used to acquire the services of a non-governmental disbursement agent, under the provisions of the Railroad Retirement and Survivors' Improvement Act of 2001.	2,859,000
• <u>Medical fees</u> . Funding is required for medical services and hospital transcripts used to make disability determinations.	2,450,000
• <u>Consultative medical services</u> . Funding will be used for medical evidence reviews concerning benefit and claim matters and preparation of advisory medical opinions.	420,000 <u>a/</u>
• <u>Contact representative training</u> . Contract funding will be used for training contact representatives.	72,900
• <u>Virtual university</u> . Contract funding will be used to continue an on-line training facility for the RRB.	60,000

Funding for Major Contracts

<u>Title and description</u>	<u>Estimated FY 2004 funding (\$)</u>
• <u>Microfilm</u> . The RRB will require contractor assistance to replace microfilm used in benefit payment processing.	25,000
• <u>Staffing services</u> . Contractor assistance will be used for personnel staffing and classification services.	15,000
• <u>Transcription services</u> . The RRB uses contractual services to prepare transcripts of hearings regarding appeal cases for use by the Board Members.	10,000

Financial management

- | | |
|---|--------------------|
| • <u>Payments to Treasury</u> . Funding is required for fees by the Department of the Treasury for issuing checks and making electronic funds transfers on the RRB's behalf, as well as postage costs incurred for RRB mailings released by the Treasury. Payments of unemployment and sickness insurance benefits continue to be made by the Department of the Treasury. | 100,000 <u>b</u> / |
|---|--------------------|

Administrative support

- | | |
|---|--------------------|
| • <u>File management services</u> . Fees will be paid to the National Archives and Records Administration for services by the Federal Records Center to maintain the RRB claim files. | 400,000 <u>b</u> / |
| • <u>Photocopiers</u> . Funding is required to continue contractual services for photocopiers in the RRB's headquarters building. | 374,200 |
| • <u>Field office support services</u> . Funding is required for field office alterations, miscellaneous and administrative support services, and health unit maintenance agreements. | 85,900 <u>b</u> / |
| • <u>Computer recovery service</u> . Fees are paid for backup data processing operations in the event of an emergency or disaster. | 40,000 |

Funding for Major Contracts

<u>Title and description</u>	<u>Estimated FY 2004 funding (\$)</u>
<ul style="list-style-type: none"> • <u>Employee assistance program</u>. The RRB provides professional assistance for employees with personal problems that adversely affect work performance. 	36,000 _{a/}
<ul style="list-style-type: none"> • <u>Audiovisual training programs</u>. Funding is required for the use of audiovisual and computer-based training materials. 	20,000
<u>Facility management services</u>	
<ul style="list-style-type: none"> • <u>Building maintenance and repairs</u>. Funding is required for facility maintenance, elevator maintenance, and repairs to the RRB headquarters building. 	765,300
<ul style="list-style-type: none"> • <u>Janitorial services</u>. Funding is required for janitorial services for the RRB headquarters building. 	491,300
<ul style="list-style-type: none"> • <u>Building security</u>. Funding is required for guard service for the RRB headquarters building. 	<u>409,000</u>
Agency total	<u>9,083,600</u>

_{a/} The amounts requested are for advisory and assistance services.

_{b/} Contractual services provided by Federal Government agencies comprise \$585,900 of the agency program total of \$9,083,600.

BUDGET AUTHORITY BY FUND

Railroad Retirement Program

	Fiscal year 2002 actual amounts	Fiscal year 2003 estimated amounts	Fiscal year 2004 estimated amounts	Increase or decrease amounts
<u>Direct Program:</u>				
Railroad Retirement Account	\$ 59,047,500	\$60,385,000	\$62,185,000	\$1,800,000
Social Security Equivalent Benefit Account	23,900,000	21,700,000	22,000,000	300,000
Railroad Retirement Supplemental Account <u>a/</u>	<u>500,000</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total, direct railroad retirement program obligations	\$ 83,447,500	\$82,085,000	\$84,185,000	\$2,100,000

Railroad Unemployment and Sickness Insurance Program

<u>Direct program:</u>				
Railroad Unemployment Insurance Administration Fund	<u>14,157,000</u>	<u>15,635,000</u>	<u>15,635,000</u>	<u>0</u>
Total, direct retirement, unemployment and sickness insurance obligations	\$ 97,604,500	\$ 97,720,000	\$ 99,820,000	\$2,100,000
Unobligated balance	<u>23,000</u>	<u>---</u>	<u>---</u>	<u>---</u>
Total, direct retirement and unemployment and sickness insurance budget authority	<u>\$ 97,627,500 b/</u>	<u>\$ 97,720,000 c/</u>	<u>\$ 99,820,000</u>	<u>\$2,100,000</u>

a/ The Railroad Retirement Supplemental Account was discontinued during fiscal year 2002 under the Railroad Retirement and Survivors' Improvement Act of 2001.

b/ Reflects the appropriation of \$97,700,000 to the Limitation on Administration received under P.L. 107-116, less a rescission of \$72,500 in accordance with P.L. 107-206.

c/ A regular fiscal year 2003 appropriation had not been enacted at the time this budget justification was prepared. This account is currently operating under the continuing resolution P.L. 107-229, as amended. This amount reflects the Administration's proposed level of funding for fiscal year 2003.

LIMITATION ON ADMINISTRATION

Financing

	Fiscal year 2002 actual	Fiscal year 2003 estimate	Fiscal year 2004 estimate
<u>Financing</u>			
Total, direct program obligations	\$ 97,604,500	\$ 97,720,000	\$ 99,820,000
Unobligated balance	<u>23,000</u>	<u>---</u>	<u>---</u>
Limitation	<u>\$97,627,500</u>	<u>\$ 97,720,000</u>	<u>\$ 99,820,000</u>

Relation of direct program obligations to outlays

Obligations incurred, net	\$ 97,604,500	\$ 97,720,000	\$ 99,820,000
Obligated balance, start of year	0	6,014,000	6,014,000
Obligated balance, end of year	<u>(6,014,000)</u>	<u>(6,014,000)</u>	<u>(6,014,000)</u>
Outlays from limitation	<u>\$ 91,590,500</u>	<u>\$97,720,000</u>	<u>\$ 99,820,000</u>

Note: Funds to administer the Railroad Retirement Act and the Railroad Unemployment Insurance Act are combined into a single administrative account. Funds to administer the Railroad Retirement Act are transferred from the Railroad Retirement Account and the Social Security Equivalent Benefit Account to the Limitation on Administration. Funds required to administer the Railroad Unemployment Insurance Act are transferred from the Railroad Unemployment Insurance Administration Fund to the Limitation on Administration.

The financial operations of the Limitation on Administration can also be expressed in a sources and uses of funds table as shown below.

Sources and Uses of Funds

	Fiscal year 2002 <u>actual</u>	Fiscal year 2003 <u>estimate</u>	Fiscal year 2004 <u>estimate</u>
<u>Direct program sources</u>			
Available from benefit trust funds by Congressional appropriation	<u>\$ 97,627,500</u>	<u>\$ 97,720,000</u>	<u>\$ 99,820,000</u>
<u>Direct program uses</u>			
Administrative expenses	\$ 97,604,500	\$ 97,720,000	\$ 99,820,000
Unobligated balance	<u>23,000</u>	<u>---</u>	<u>---</u>
Total uses:	<u>\$ 97,627,500</u>	<u>\$ 97,720,000</u>	<u>\$ 99,820,000</u>

LIMITATION ON ADMINISTRATION

Amounts Available for Obligation

	Fiscal year 2002 <u>actual</u>	Fiscal year 2003 <u>estimate</u>	Fiscal year 2004 <u>estimate</u>
Appropriation	\$ 97,700,000	\$ 97,720,000	\$ 99,820,000
Less:			
Amount rescinded in accordance with Public Law 107-206	72,500	0	0
Unobligated balance	<u>23,000</u>	<u>0</u>	<u>0</u>
Total direct obligations <u>a/</u>	<u>\$ 97,604,500</u>	<u>\$ 97,720,000</u>	<u>\$ 99,820,000</u>

a/ The RRB also expects reimbursements totaling \$5,494,000 in fiscal year 2002, and \$5,265,800 in fiscal years 2003 and 2004.

SUMMARY OF CHANGES 1/

	<u>Appropriation total</u>			
FY 2003 appropriation.....		\$ 97,720,000		
FY 2004 estimate		<u>\$ 99,820,000</u>		
Net change.....		\$ 2,100,000		
	<u>2003 Current (Base)</u>		<u>2004 Change from Base</u>	
	<u>FTE's</u>	<u>Budget authority</u>	<u>FTE's</u>	<u>Budget authority</u>
<u>Increases:</u>				
<u>Built-in:</u>				
Net change related to personnel benefits: loss of FTE's, -\$670,000; annualization of January 2003 pay raise, +\$109,000; January 2004 pay raise, +\$213,000; grade/step increases, +\$174,000; other changes in benefit costs (health insurance, etc.), +\$290,000.				
Subtotal, built-in compensation increases	-----	<u>\$13,439,000</u>	-----	<u>\$ 116,000</u>
<u>Program:</u>				
1. Travel	-----	\$ 621,000	-----	\$ 112,000
2. Transportation of things	-----	123,000	-----	4,000
3. Printing and reproduction	-----	255,000	-----	8,000
4. Other services	-----	8,347,000	-----	2,382,000
5. Supplies	-----	759,000	-----	36,000
6. Equipment	-----	479,000	-----	<u>503,000</u>
Subtotal, program increases				<u>\$3,045,000</u>
Total increases				<u>\$3,161,000</u>
<u>Decreases:</u>				
<u>Built-in:</u>				
Net change related to full-time and part-time salaries: loss of FTE's, -\$3,168,000; annualization of January 2003 pay raise, +\$508,000; January 2004 pay raise, +\$990,000; grade/step increases, +\$809,000; and other personnel compensation (awards and overtime), +\$155,000.				
Subtotal, built-in compensation decreases	1,069	<u>\$64,442,000</u>	-50	<u>\$ (706,000)</u>
<u>Program:</u>				
1. Benefits for former employees	-----	\$ 300,000	-----	\$ (65,000)
2. Rental payments to GSA	-----	3,950,000	-----	(250,000)
3. Communication, utilities and miscellaneous charges	-----	4,467,000	-----	(23,000)
4. Consulting services	-----	538,000	-----	<u>(17,000)</u>
Subtotal, program decreases				<u>\$ (355,000)</u>
Total decreases				<u>\$ (1,061,000)</u>
Net change			<u>-50</u>	<u>\$ 2,100,000</u>

1/ Corresponds to page 12 - Administrative Expenses.

APPROPRIATIONS HISTORY TABLE

<u>Year</u>	<u>Budget estimate to the Congress</u>	<u>House allowance</u>	<u>Senate allowance</u>	<u>Appropriation/ continuing resolution</u>
1995	\$92,460,000	\$90,912,000	\$90,912,000	\$90,816,000 <u>a/</u>
1996	\$92,700,000	\$90,816,000	\$89,094,000	\$89,692,000 <u>b/</u>
1997	\$90,558,000	\$87,898,000	\$87,898,000	\$87,728,000 <u>c/</u>
1998	\$88,800,000	\$85,728,000	\$87,728,000	\$87,228,000 <u>d/</u>
1999	\$86,000,000	\$86,000,000	\$90,000,000	\$89,935,400 <u>e/</u>
2000	\$86,500,000	\$90,000,000	\$91,000,000	\$90,655,000 <u>f/</u>
2001	\$92,500,000	\$95,000,000	\$92,500,000	\$95,000,000 <u>g/</u>
2002	\$97,700,000	\$97,700,000	\$97,700,000	\$97,627,500 <u>h/</u>
2003	\$97,720,000	\$100,000,000	\$97,720,000	\$97,720,000 <u>i/</u>
2004	\$99,820,000			

a/ Amount reflects appropriations received under Public Law (P.L.) 103-333 of \$90,912,000 less the rescission totaling \$96,000 under P.L. 104-19.

b/ Amount reflects funding made available under P.L. 104-134, after a rescission and cancellation of budget authority totaling \$263,000.

c/ Amount reflects funding made available under P.L. 104-208, less a rescission and cancellation of budget authority totaling \$170,000.

d/ Amount reflects funding made available under P.L. 105-78.

e/ Amount reflects the appropriation of \$90,000,000 made available under P.L. 105-277, less a rescission of \$64,600 required by P.L. 106-51.

f/ Amount reflects funding made available under P.L. 106-113, after a rescission of \$345,000.

g/ Amount reflects funding made available under P.L. 106-554.

h/ Amount reflects funding made available under P.L. 107-116, less a rescission of \$72,500 required by P.L. 107-206.

i/ A regular fiscal year 2003 appropriation for this account had not been enacted at the time this budget justification was prepared. This account is currently operating under the continuing resolution P.L. 107-229, as amended. This amount reflects the Administration's proposed level of funding for fiscal year 2003.

STRATEGIC GOALS

The RRB's budget request for ongoing operations is distributed among three strategic goals presented in the agency's Strategic Plan:

1. To provide excellent customer service,
2. To safeguard our customers' trust funds through prudent stewardship,
3. To use technology and automation to foster fundamental changes that improve the way we do business.

These goals are discussed in detail in the RRB's Annual Performance Plan for Fiscal Year 2004, beginning on page 27. Dollar amounts are shown for each strategic goal representing the resources needed to achieve the performance goals. As a lead-in to the plan, the tables on the next page provide the summary workloads for the retirement/survivor and unemployment/sickness insurance programs.

Retirement/Survivor Benefit Program
Summary Processing Workload Table

<u>Fiscal years</u>	<u>Average number of annuitants</u>	<u>New applications</u>	<u>Reactivated applications</u>	<u>Number of payments</u>	<u>Supplemental annuities awarded</u>	<u>Social security awards</u>	<u>Medicare enrollments</u>
1993	843,204	50,854	65,220	10,627,914	8,752	7,353	7,815
1994	819,931	48,241	62,584	10,339,329	8,026	6,951	6,158
1995	799,158	44,838	56,535	10,038,332	7,681	6,221	5,815
1996	775,387	41,756	52,731	9,890,682	7,256	5,440	5,757
1997	751,558	41,126	50,458	9,447,929	6,942	5,980	5,309
1998	727,603	38,970	47,544	9,166,205	7,077	5,995	6,242
1999	704,159	38,413	47,265	8,886,055	6,719	6,108	5,890
2000	681,779	37,549	45,924	8,626,488	7,266	7,838	5,942
2001	660,112	38,306	40,870	8,872,847	6,690	7,156	5,538
2002	641,063	42,225	41,721	8,200,488	10,427	5,845	5,124
2003 (est)	628,800	39,000	45,000	8,000,000	8,000	7,000	5,000
2004 (est)	614,100	38,000	43,000	7,700,000	7,000	6,000	5,000

Railroad Unemployment/Sickness Insurance Program
Summary Processing Workload Table

<u>Fiscal years</u>	<u>Railroad employment 1/</u>	<u>Unemployment insurance</u>			<u>Sickness insurance</u>		
		<u>Applications</u>	<u>Claims</u>	<u>Payments</u>	<u>Applications</u>	<u>Claims</u>	<u>Payments</u>
1993	271,000	25,420	206,509	175,812	31,622	201,977	165,908
1994	266,000	23,989	190,950	159,879	30,355	205,528	170,918
1995	265,000	21,995	147,378	120,870	28,955	193,483	160,906
1996	257,000	21,143	162,434	135,863	27,291	192,630	162,130
1997	253,000	17,121	120,136	99,042	27,670	180,962	155,358
1998	256,000	13,253	82,103	67,759	26,317	170,336	146,659
1999	256,000	16,261	99,874	81,990	26,267	170,306	145,853
2000	246,000	17,720	103,934	85,756	28,039	177,709	153,143
2001	238,000	22,229	120,994	96,603	28,850	191,715	165,706
2002	227,000 (est)	20,462	129,564	106,289	28,193	193,246	167,643
2003 (est)	222,000	20,000	122,000	100,000	28,000	186,000	161,000
2004 (est)	216,000	18,000	112,000	92,000	26,000	178,000	154,000

1/ Average annual railroad employment is based on mid-month counts and presented on a calendar year basis.

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